

DOCKET NO.: MSFT-0186/154572.1

PATENT

DP 2126

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. De Mello, et al.

Confirmation No.: 5227

Application No.: 09/604,939

Group Art Unit: 2126

Filing Date: June 27, 2000

Examiner: Zhen, Li B

For: ASYNCHRONOUS COMMUNICATION WITHIN A SERVER
ARRANGEMENT

DATE OF DEPOSIT: 10-7-09

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TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

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the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☒ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **26 thru 80** listed on the attached Form PTO-1449 are enclosed herewith.

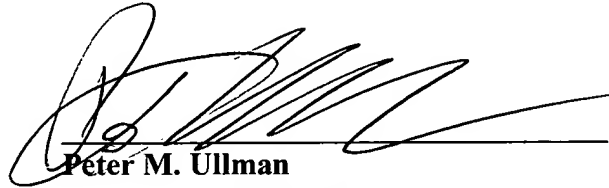
- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 10-7-07



Peter M. Ullman
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PATENT

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Examiner: Gurshman, Grigory

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TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

COMMUNICATION

- ☒ Applicant wishes to advise the Examiner of certain co-pending applications with regard to the present application. In particular, the following eleven (11) applications, nos. 09/604,564, 09/604,944, 09/604,939, 09/604,946, 09/604,174, 09/604,636, 09/604,540, 09/604,222, 09/604,541, 09/604,518, 09/604,543, were all filed on June 27, 2000 and are presently pending. These applications share either substantially identical specifications or overlapping material, and also have overlapping (but non-identical) sets of inventors.


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Of the eleven (11) applications listed above, three of the applications (nos. **09/604,540**, **09/604,946**, **09/604,944**) each claim priority to two provisional applications (60/172,318 and 60/172,319) filed on December 17, 1999.

Additionally, at present each of the following application nos.: **10/858,890** (filed June 2, 2004); **10/871,739** (filed June 18, 2004); and attorney docket no. **MSFT-4470/154575.05** (filed September 17, 2004); is a pending child of one of the above-mentioned cases.

Date: 10-7-04


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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0186/154572	Application No. 09/604,939
	Applicant Marco A. De Mello, et al.	
	Filing Date June 27, 2000	Group 2126
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U. S. PATENT DOCUMENTS

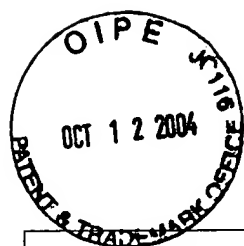
Examiner Initial		Document No.	Date	Name	Class	Subclass
	26	2001/0011238	08/02/01	Eberhard, et al.	705	27
	27	5,708,780	01/13/98	Levergood, et al.	395	200.12
	28	5,724,425	03/03/98	Chang, et al.	380	25
	29	5,883,955	03/16/99	Ronning	380	4
	30	5,933,498	08/03/99	Schneck, et al.	380	4
	31	5,970,475	10/19/99	Barnes, et al.	705	27
	32	5,999,622	12/07/99	Yasukawa, et al.	380	4
	33	6,006,332	12/21/99	Rabne, et al.	713	201
	34	6,088,717	07/11/00	Reed, et al.	709	201
	35	6,385,596 B1	05/07/02	Wiser, et al.	705	51

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	36	EP 0 795 809 A2	09/17/97	EPO		
	37	WO 00/08909	02/24/00	PCT		
	38	WO 00/21239	04/13/00	PCT		
	39	WO 00/75760 A1	12/14/00	PCT		
	40	WO 99/63416	12/09/99	PCT		

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	41	5,222,134	06/22/93	Waite, et al.	380	4
	42	5,509,070	04/16/96	Schull	380	4
	43	5,734,823	03/31/98	Saigh, et al.	395	200.06
	44	5,734,891	03/31/98	Saigh	395	610
	45	5,784,609	07/21/98	Kurihara, A.	707	9
	46	5,991,402	11/23/99	Jia, et al.	705	59
	47	6,021,492	02/01/00	May, Gregory J.	713	200
	48	6,035,403	03/07/00	Subbiah, et al.	713	201
	49	6,067,582	05/23/00	Smith, et al.	710	5
	50	6,298,446 B1	10/02/01	Schreiber, et al.	713	201

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					YES	NO
	51	EP 0 778 512 A2	06/11/97	EPO		
	52	EP 0 843 449 A2	05/20/98	EPO		
	53	WO 96/42041	12/27/96	PCT		
	54	WO 98/45768	10/15/98	PCT		
	55	WO 98/58306	12/23/98	PCT		

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	56	2002/0069265 A1	06/06/02	Bountour, et al.	709	219
	57	4,924,378	05/08/90	Hershey, et al.	713	201
	58	5,654,746	08/05/97	McMullan, Jr. et al.	725	29
	59	5,666,411	09/09/97	McCarty, Johnnie C	705	51
	60	5,742,677	04/21/98	Pinder, et al.	380	242
	61	5,983,273	11/09/99	White, et al.	709	229
	62	6,029,046	02/22/00	Khan, et al.	725	31
	63	5,530,235	06/25/96	Stefik, et al.	235	492
	64	5,625,693	04/29/97	Rohatgi, et al.	380	23
	65	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	66	6,331,865 B1	12/18/01	Sachs, et al.	345	776

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	67	WO 96/24092	08/08/96	PCT		
	68	WO 99/55055	12/28/99	PCT		
	69	WO 98/44402	10/08/98	PCT		
	70	WO 99/45491	09/10/99	PCT		

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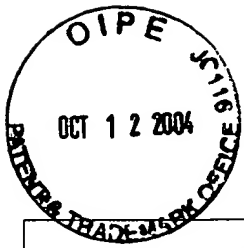
Examiner Initial		Document No.	Date	Name	Class	Subclass
	71	5,359,659	10/25/94	Rosenthal	380	4
	72	5,991,399	11/23/99	Graunke, et al.	380	4
	73	6,049,612	04/11/00	Fiedler, et al.	380	44
	74	4,668,169	08/18/87	Joshi, Bhagirath S.	364	200
	75	6,449,645 B1	09/10/02	Nash, Kenneth L	709	224
	76	5,765,152	06/09/98	Erickson, J.S.	707	9

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	77	WO 99/01815	01/14/99	PCT		
	78	WO 99/26123	05/27/99	PCT		

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	79	Shamir, A. et al., "Playing Hide and Seek with Stored Keys", <i>Third International Conference, 1999</i> , franklin, M. (ed), 118-124
	80	Auchsmith, D., "Tamper Resistant Software: An Implementation", <i>first International Workshop</i> , Anderson, Ross (ed.,) 1996 , 317-333
EXAMINER		DATE CONSIDERED